

# The ChatGLM's Road to AGI



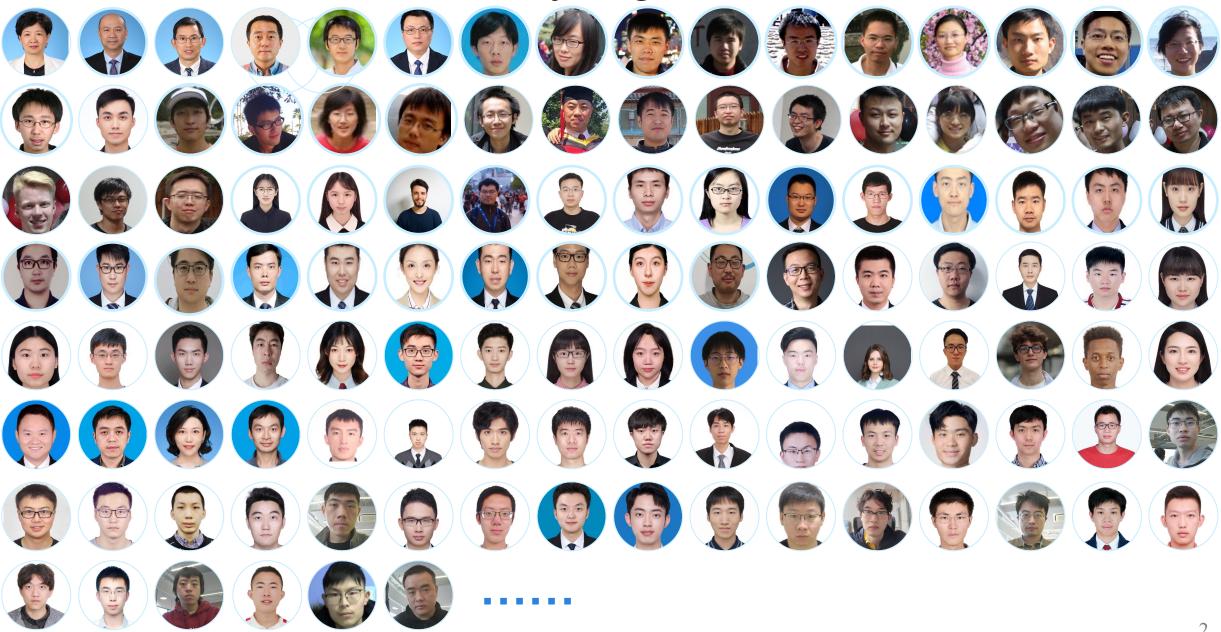
Jie Tang

KEG, Tsinghua University



https://github.com/THUDM/

## A Very Big Team



# What is **ChatGLM**

- ChatGPT and GPT4 has gained enormous popularity
  - However, techniques behind GPT become a secret to all
- ChatGLM, an open-source ChatGPT alternative, toward unclosing the secrets
  - GLM-130B: an open-source LLM base model
  - ChatGLM-6B: a lightweight open-source ChatGPT alternative
  - ChatGLM-130B&GLM-4: not open-sourced, but available through API





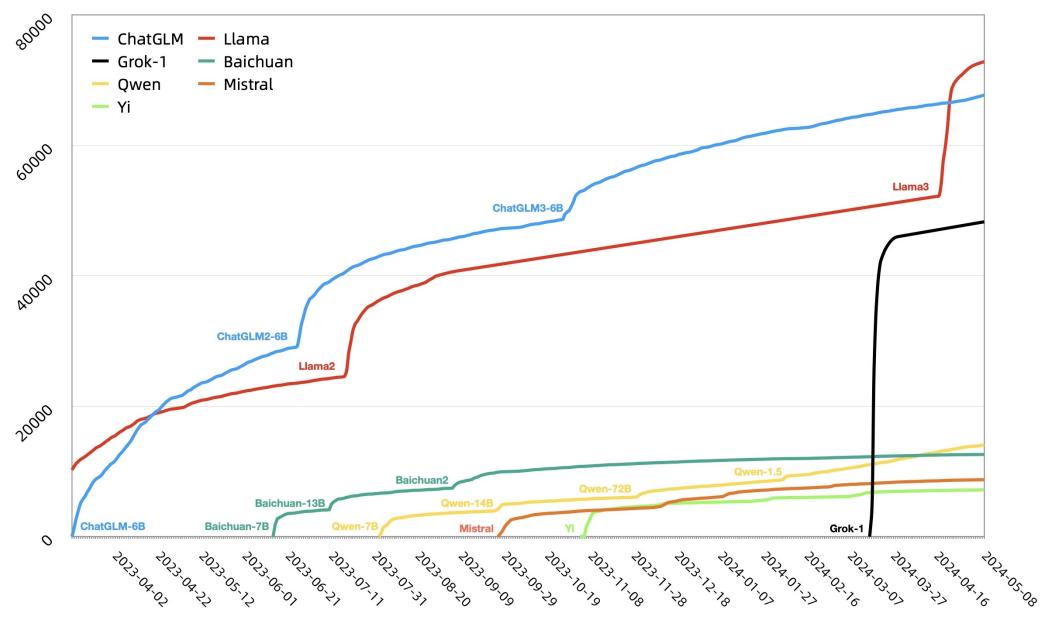
### **Open LLM Research**

	ChatGLM-6B Public	м	
39,369	ChatGLM-6B: An Open Bilingual Dialogue Language Model   开源双语对话语言模型	· ·····	
	● Python ☆ 39,025 Ф Apache-2.0 😵 5,068 ⊙ 535 ╏ 42 Updated on Feb 18		
45 540	ChatGLM2-6B Public	Å	
15,516	ChatGLM2-6B: An Open Bilingual Chat LLM   开源双语对话语言模型	_M	
	● Python 🏠 15,424 😵 1,824 💽 421 🎲 27 Updated yesterday		
12,186	ChatGLM3 Public	Ν.	
,	ChatGLM3 series: Open Bilingual Chat LLMs   开源双语对话语言模型	M	
	● Python 🏠 11,687 Ф Apache-2.0 😵 1,336 💽 11 (1 issue needs help) 🏌 1 Updated 2 days ago		
7,788	CodeGeeX Public		
•	CodeGeeX: An Open Multilingual Code Generation Model (KDD 2023)	~	
	● Python ☆ 7,729 Ф Apache-2.0 学 551 ⊙ 147 ╏ 7 Updated last week		ht
7,618	GLM-130B Public		
•	GLM-130B: An Open Bilingual Pre-Trained Model (ICLR 2023)		
	● Python ☆ 7,600 № Apache-2.0 % 609 ① 115 ╏ 5 Updated on Jul 25, 2023		
7,203	CodeGeeX2 Public		
	CodeGeeX2: A More Powerful Multilingual Code Generation Model	/	
	● Python ☆ 7,008 Ф Apache-2.0		
5,110	CogVLM Public	1	
	a state-of-the-art-level open visual language model   多模态预训练模型		

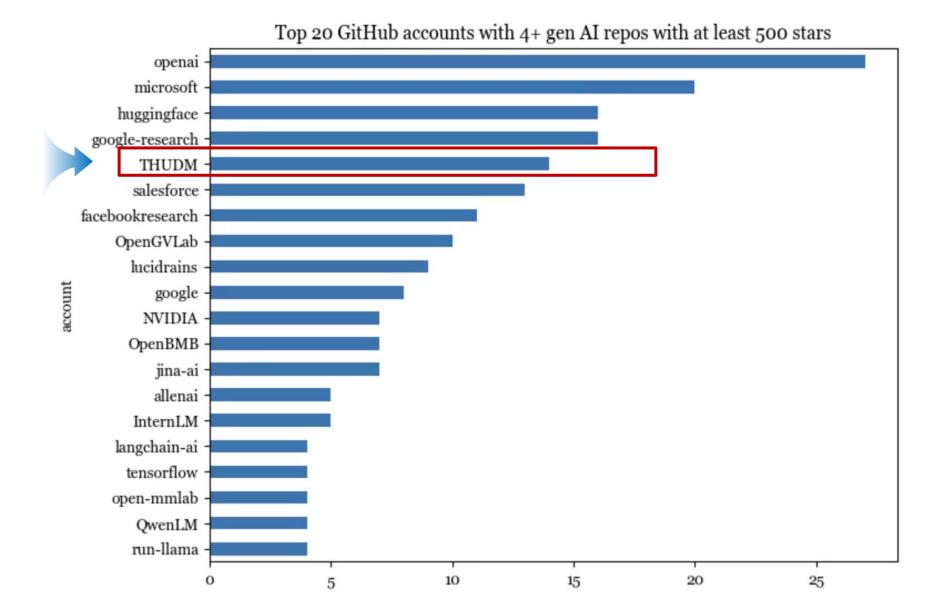


#### https://github.com/THUDM

#### ChatGLM vs. LLaMA



### Number of Projects with 500 stars



# ChatGLM-6B: An Open-Source Alternative

- ChatGLM-6B: 6.2Bparameters, INT4 quantization (only need 6G memory)
- >60,000 stars on github
- >13,000,000 downloads on Huggingface
- **No. 1** on Github Trending (2 week)
- No. 1 on Huggingface Trending (2 weeks)

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🗲 eson/tokeniz	🗅 upstage/ope	🛢 kevinwang67	ducknew/MedQA-LLM DrSong/ChatGLM-6B-ChatBot
😻 fkhuggingme	yentinglin/T	💀 glt3953/app-	hahahafofo/image2text_prompt_generator
😻 RayJin/Chat(	🛢 kevinwang6	🖋 lwj786/chatg	hahahafofo/ChatGLM-Chinese-Summary
😻 pgqun/gpt-a	🐽 glt3953/app	🛢 zfwcpc/Chat	<ul> <li>OedoSoldier/chatglm int4 demo</li> <li>Dao3/ChatGLM-6B</li> </ul>
🟃 MoDongbao/	🖞 felixz/open_	yuping322/C	<ul> <li>elitecode/ChatGLM-GB-ChatBot</li> <li>f Nicholaspei/LangChain-ChatLLM</li> </ul>
🐸 AtonB/gpt-ac	🍗 okliqiang/co	🐸 hieroglyphs/	
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rgsgs/ChatGL	🛢 zfwcpc/Cha	🐸 Ssspirit/zhac	e kevinwang676/ChatGLM-int4-demo 🥥 justest/chatglm-6b-int4
StanleySS/gr	🐸 Colinmei/gr	🐸 wutufu/gpt-a	sinksmell/ChatPDF + 42 Spaces





#### >700 Open-Sourced Apps developed based on ChatGLM

# The top 15 most-liked organizations on @huggingface

01. @StabilityAl 20k likes

02. @AlatMeta 20k

03. @runwayml 11k

04. Compvis 10k

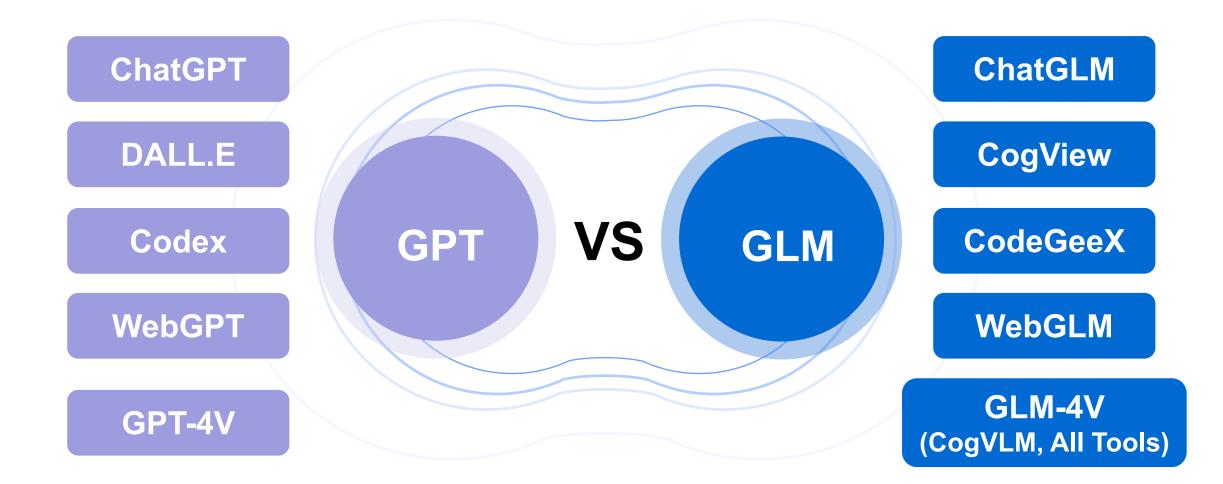
05. @thukeg 7k

- 06. @BigscienceW 7k
- 07. @Tlluae 7k
- 08. @Microsoft 6.5k

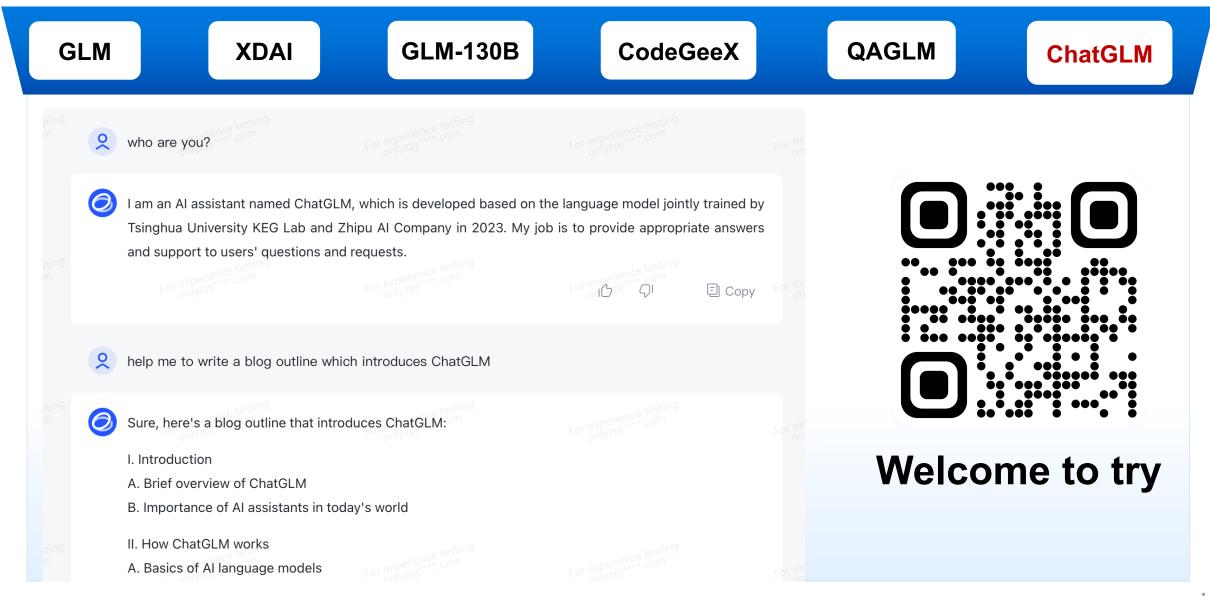
- 09. @GoogleAl 6k
- 10. @OpenAl 4k
- 11. @BigCodeProject 4k
- 12. @MosaicML 4k
- 13. @UKPLab 3k
- 14. @AiEleuther 3k
- 15. @salesforce 3k

huggingface.co/spaces/PulsarA... 5:40 PM - Nov 22,2023 - **6,094** views

#### ChatGPT vs. ChatGLM



# chatglm.ai



#### Math Exercise

(PB)

?

The apple orchard and a cost of 3 yua otatoes under apple r kilogram and a co ms, every n kilogram at is the maximum

To calculate the ma sweet potatoes. We

<u>R = (69,000) \* (8</u> -445,000 yuan

This is the maximur constraints. Therefore apples and purple s

#### 您 The apple orchard produkilogram and a cost of 3 purple sweet potatoes up income of 4 yuan per kilo greater than 30,000 kilog decrease by 0.3n kilogra

#### S ChatGPT

To find the maximum pro apples and purple sweet interdependence betwee

Since this equation is not conditions: P=0 or P

1. When P=0:

Profit = 45,375 + 0.5(0) = 45,375

2. When P = 50,000:

 $\mathrm{Profit} = 45,375 + 0.5(50,000) = 70,375$ 

The apple orchard produces 75 tons of apples every year, with a fixed income of 8 kilogram and a cost of 3 yuan. In order to improve land utilization, it has been deceplant purple sweet potatoes under apple trees next year (the maximum yield is 50 with a fixed income of 4 yuan per kilogram and a cost of 2 yuan. When the yield of sweet potato is greater than 30,000 kilograms, every n kilogram increase in its year cause the apple yield to decrease by 0.3n kilograms. What is the maximum profit apple orchard in the next year?

To solve this problem, we need to find the combination of apple and purple sweet p yields that maximizes the total profit for the orchard. Let's define the variables:

.....

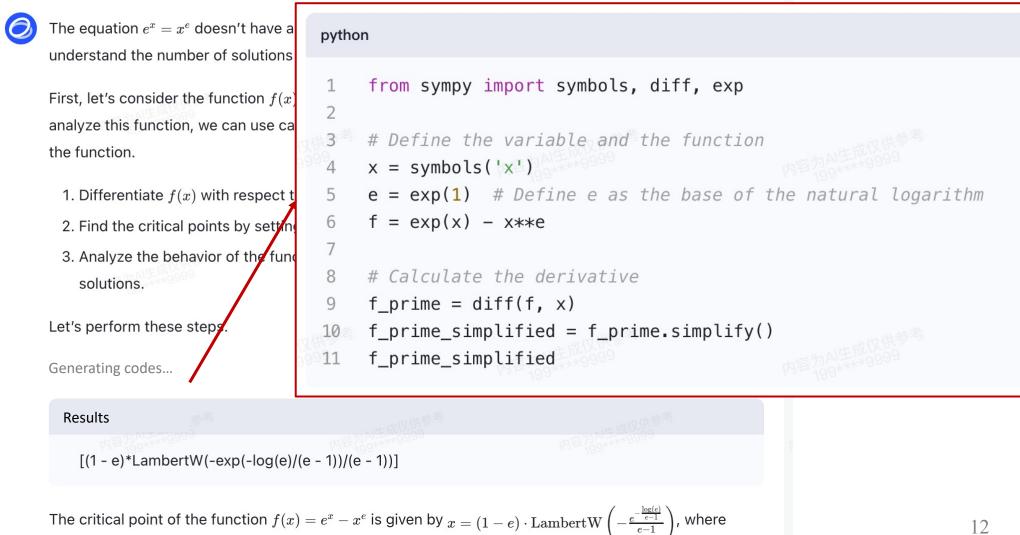
Therefore, the maximum profit for the apple orchard in the next year is 475,000 yu which is achieved by producing 75,000 kg of apples and 50,000 kg of purple sweet potatoes.

🖞 Сору

11

### **Applied Math**

Can you please prove e<sup>x</sup>=x<sup>e</sup> has only and only has one solution? 0



#### Text-to-Image Generation (2048x2048)



Fig. 1: Showcases of CogView3 generation of resolution  $2048 \times 2048$  (top) and  $1024 \times 1024$  (bottom). All prompts are sampled from Partiprompts [31].

### Image Understanding

#### **Grounding Visual Question Answering**

**Q1:** What color are the clothes of the girl whose hands are holding flowers? Let's think step by step.

**CogVLM:** Find the flowers [[328,519,436,780]]. Check the girl holding it, got the girl [[116,069,450,927]]. Check the clothes of it, got the clothes [[134,309,418,852]]. The clothes [[134,309,418,852]] are blue. So the answer is blue.



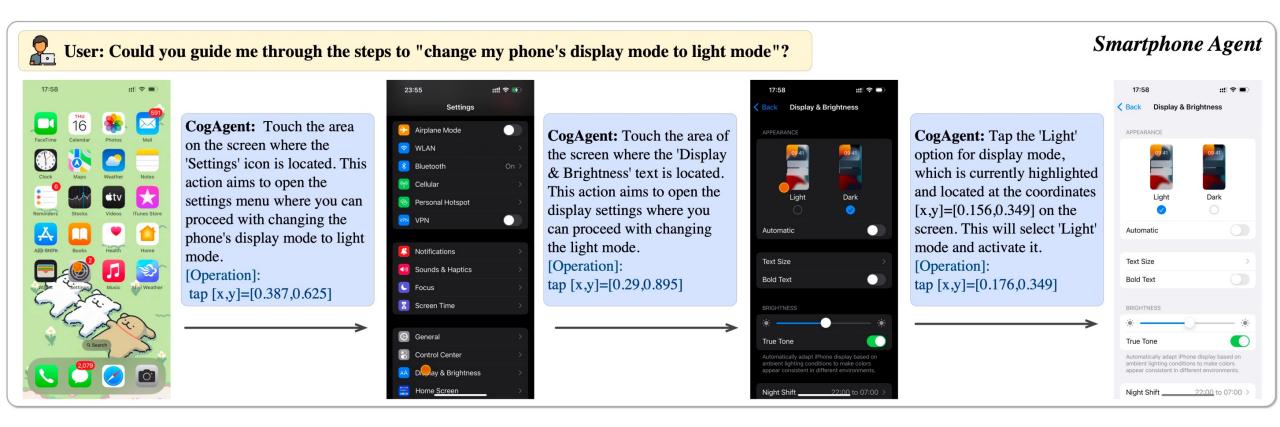
Q2: What color are the jeans of the girl whose hands are not holding flowers? Let's think step by step.

**CogVLM:** Find the not(flowers) hands [[654,637,710,728]]. Check the girl with it, got the girl [[526,109,880,946]]. Check the jeans of it, got the jeans [[530,613,880,871]]. The jeans [[530,613,880,871]] are gray. So the answer is gray.



## **GUI** Agents

#### "Change my Phone's display mode to light model"

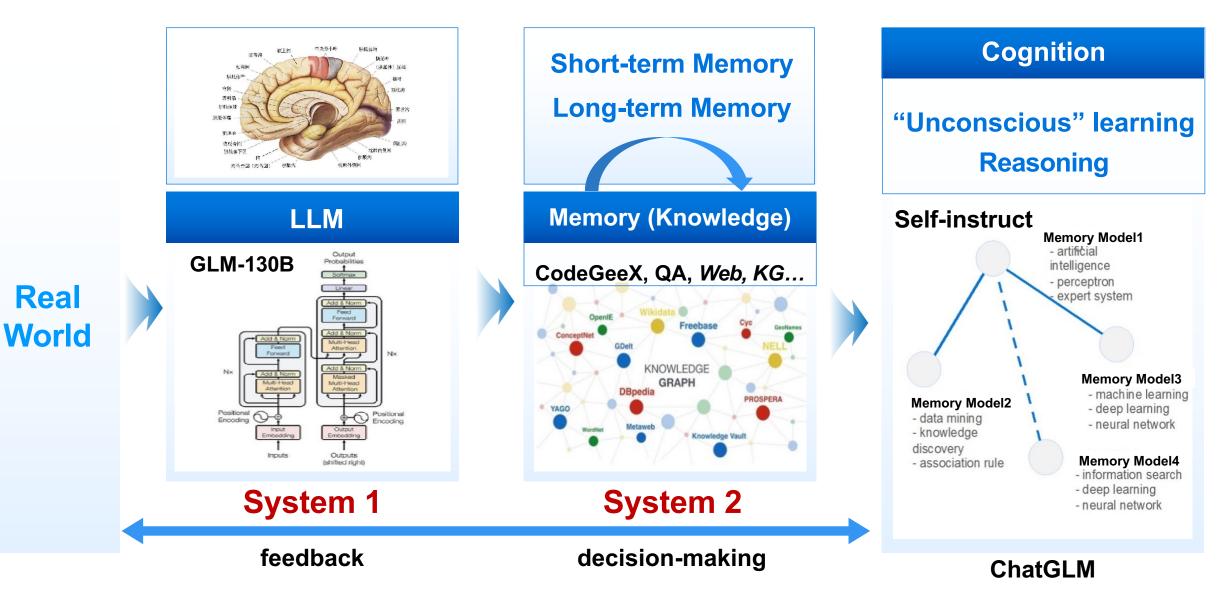




# How to make this happen?

—let us open the secrets

#### Our Plan since 2019



# THU & ZhipuAl's GLM



Du et al. **GLM**: General Language Model Pretraining with Autoregressive Blank Infilling. **arXiv:2103.10360**. ACL'22

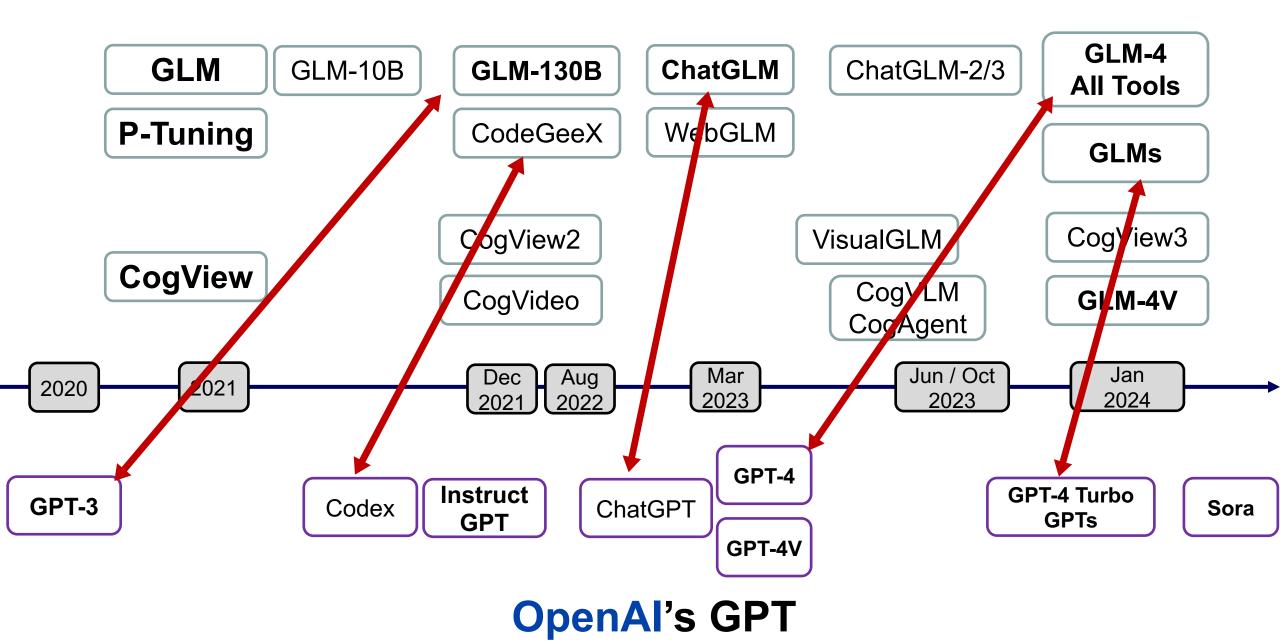
Liu et al. GPT understands, Too. arXiv:2103.10385. ACL'22



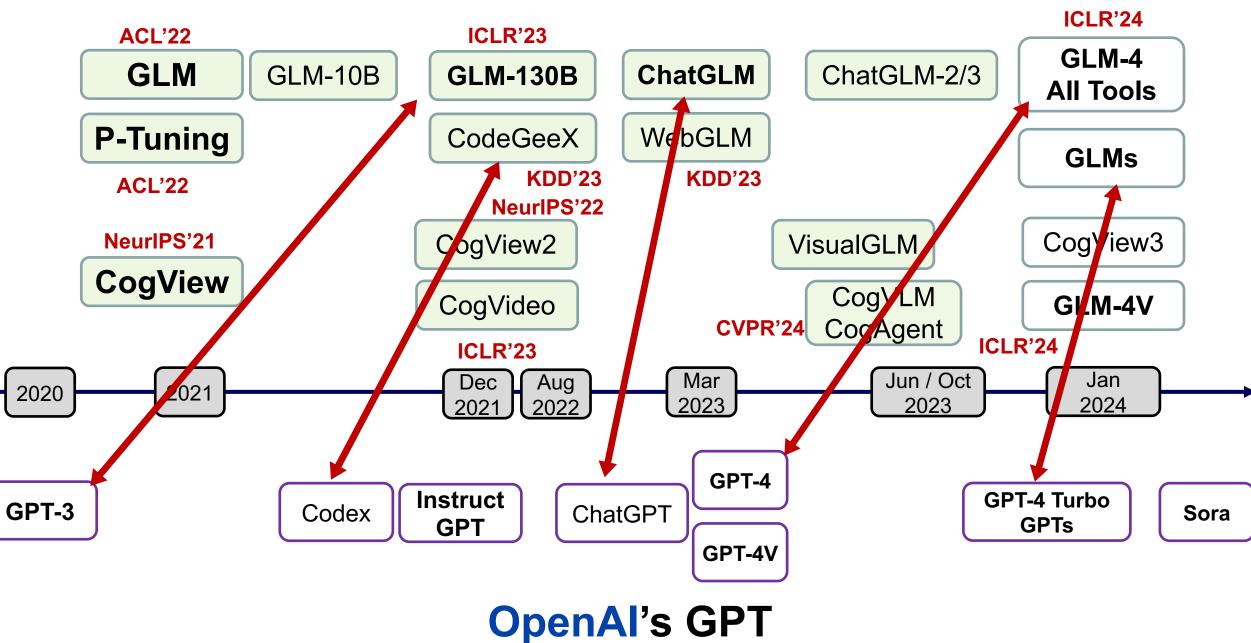
Ding et al. CogView: Mastering Text-to-Image Generation via Transformers. arXiv:2105.13290. NeurIPS 2021



# THU & ZhipuAl's GLM



# THU & ZhipuAl's GLM

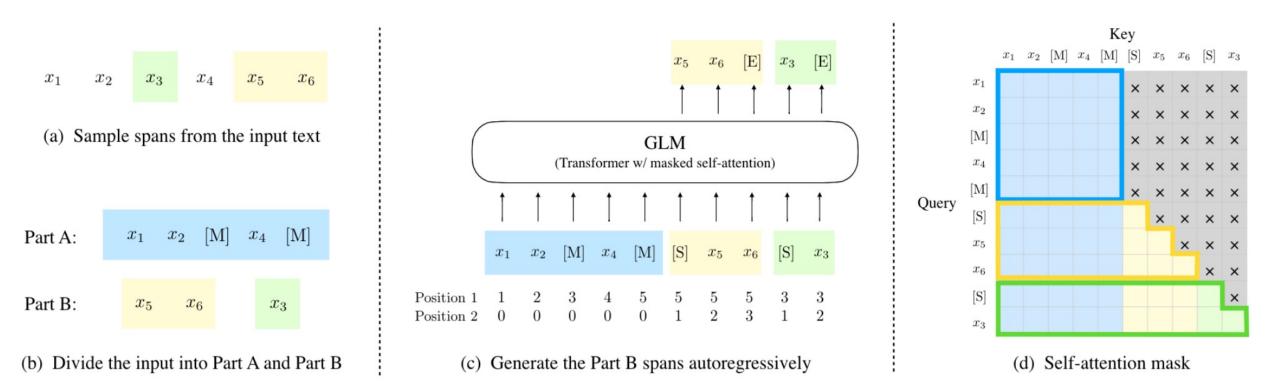


## General Language Model (GLM)

Framework	NLU	Cond. Gen.	Uncond. Gen.
Autoregressive (GPT)			$\checkmark$
Autoencoding (BERT)		×	×
Encoder-Decoder (T5)		$\checkmark$	
Autoregressive Blank-Infilling (GLM)		$\checkmark$	

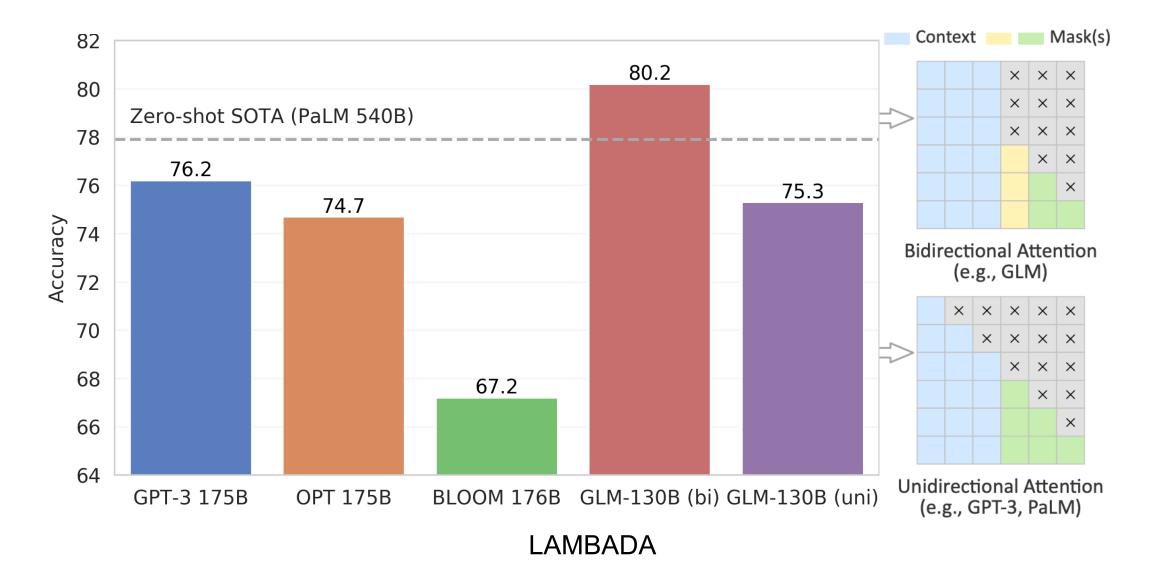
[1] Du and Qian et al. All NLP Tasks are Generation Tasks. ACL'22.

#### General Language Model (GLM)

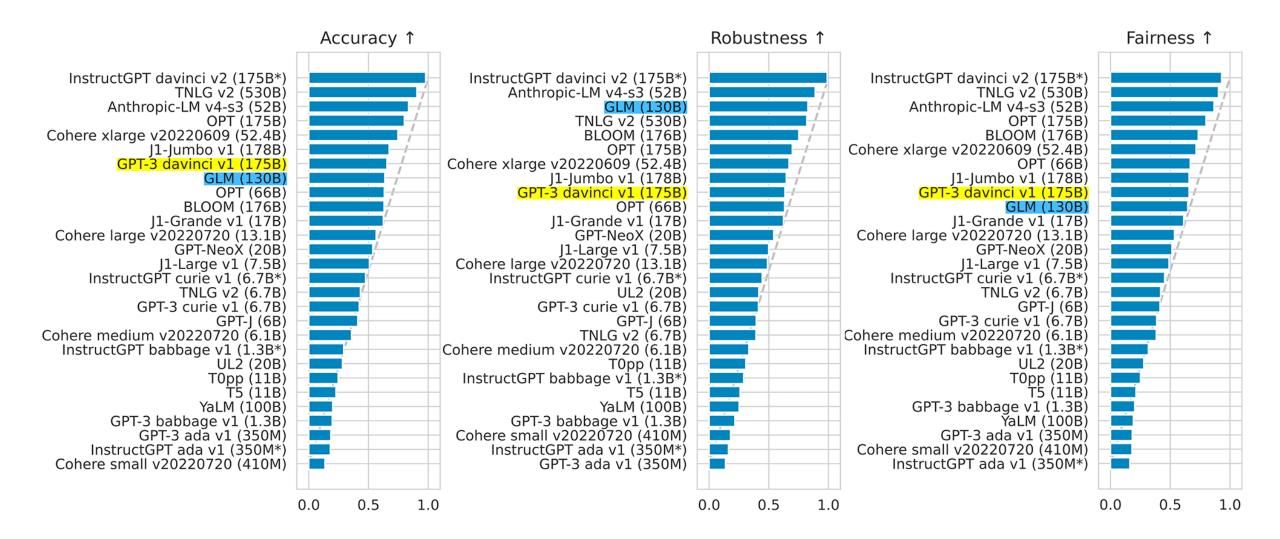


$$\mathcal{L}_{\text{GLM}} = \mathbb{E}_{\mathbf{z} \sim Z_m} \left[ \sum_{i=1}^{m} \sum_{j=1}^{l_i} -\log p\left(s_{z_i,j} | \mathbf{x}_{\text{corrupt}}, \mathbf{s}_{z_{< i}}, s_{z_i, < j}\right) \right]$$

### General Language Model (GLM)

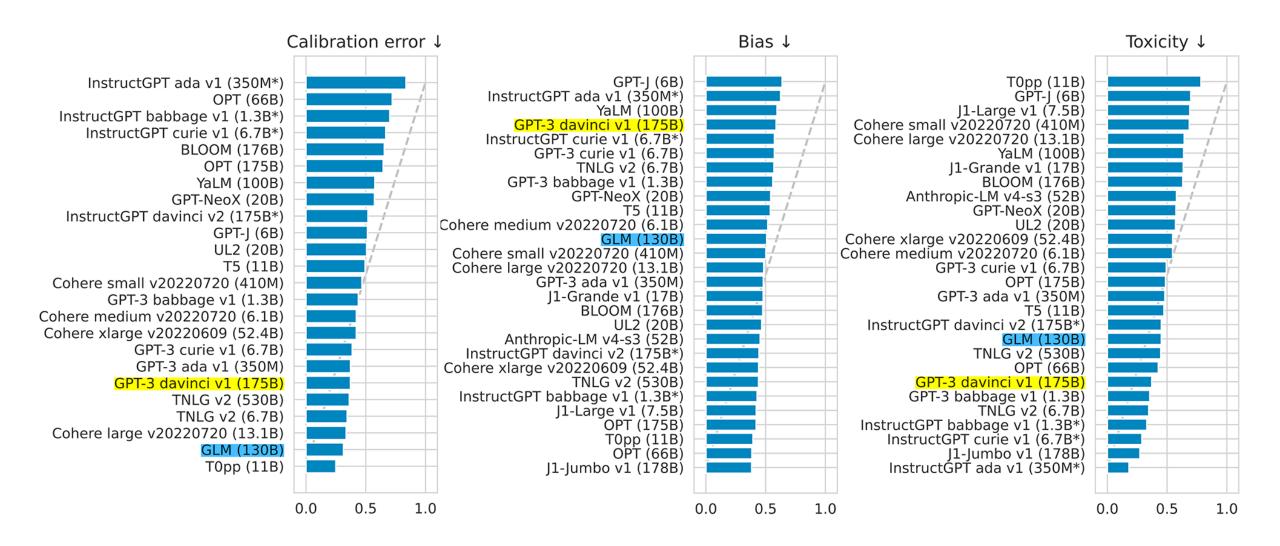


### GLM-130B in HELM

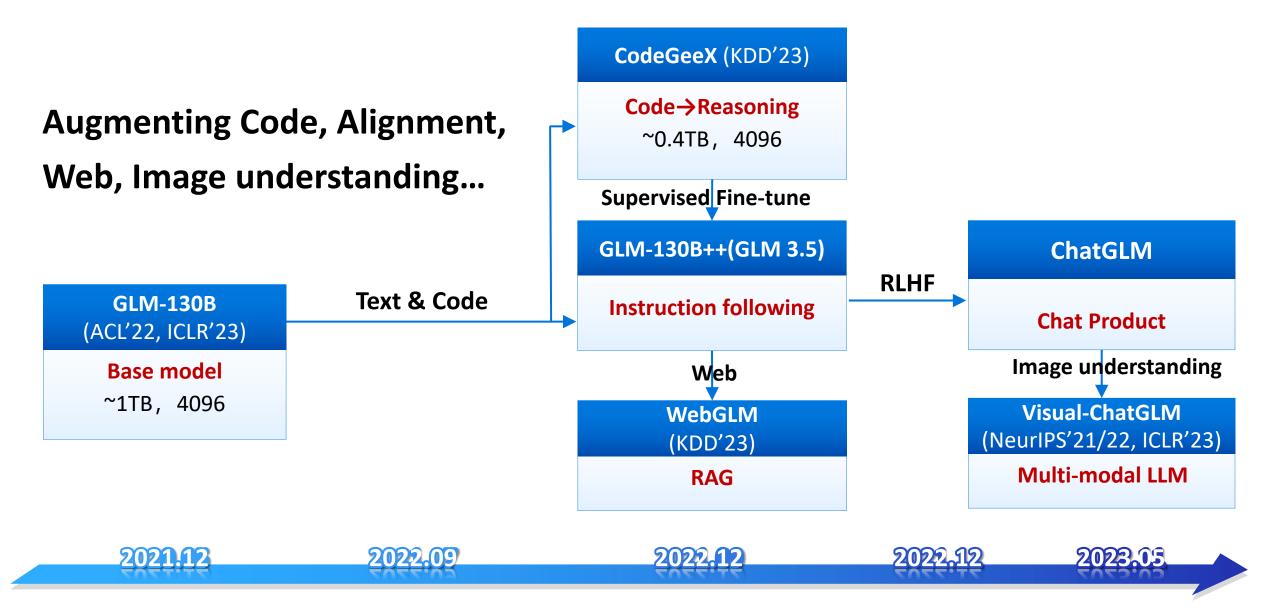




### GLM-130B in HELM



#### Develop ChatGLM based on GLM-130B





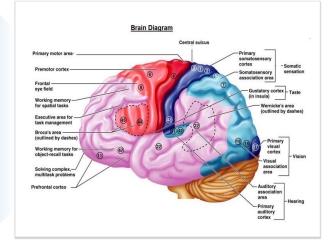
# From ChatGLM to GLM-4V

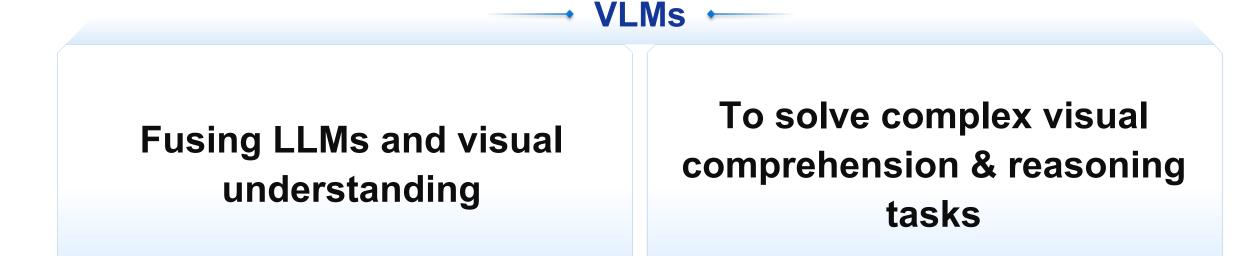
# ---from LLMs to VLMs

### From LLMs to VLMs

#### Our brain is a complex system

- Multimodal perception and understanding
- Short-term/long-term memory
- Reasoning





# From Simple Recognition to Complex Comprehension



What are shown in the photo?

A man and a chicken.

method	top-1 err.	top-5 err.
VGG [41] (ILSVRC'14)	-	8.43 <sup>†</sup>
GoogLeNet [44] (ILSVRC'14)	-	7.89
VGG [41] (v5)	24.4	7.1
PReLU-net [13]	21.59	5.71
BN-inception [16]	21.99	5.81
ResNet-34 B	21.84	5.71
ResNet-34 C	21.53	5.60
ResNet-50	20.74	5.25
ResNet-101	19.87	4.60
ResNet-152	19.38	4.49

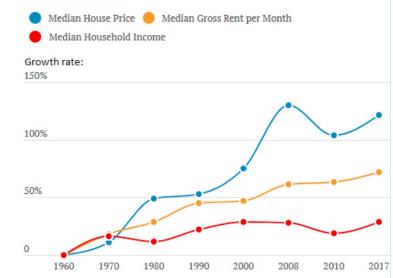
Table 4. Error rates (%) of **single-model** results on the ImageNet validation set (except  $\dagger$  reported on the test set).

#### User: Convert it to the markdown format.

#### CogAgent: | method | top-1 err. | top-5 err. |

```
|-----|
| VGG [41] (ILSVRC'14) |-
                            8.43
|GoogLeNet [44] (ILSVRC'14) | -
                               17.89
| VGG [41] (v5) | 24.4 | 7.1
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|BN-inception [16] | 21.99 | 5.81
|ResNet-34 B | 21.84 | 5.71
|ResNet-34 C | 21.53
                  15.60
|ResNet-50 | 20.74 | 5.25
|ResNet-101 | 19.87
                   4.60
|ResNet-152 | 19.38
                  4.49
```

#### **US Nationwide:**



**User:** Which year has the highest growth rate of median house price?

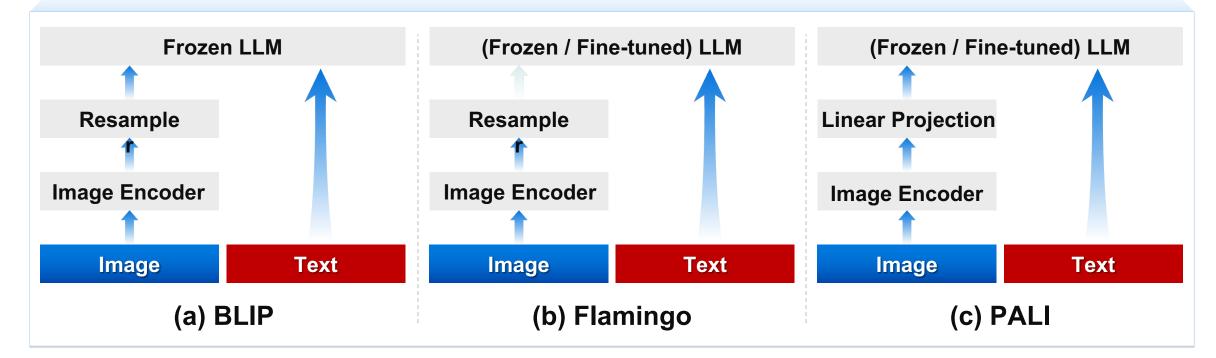
**CogAgent**: The year 2008 has the highest growth rate of median house price, which is 150%.

Before

#### Now

#### From LLMs to VLMs (Visual Language Models)

#### Expanding the boundary of the world model to vision modality

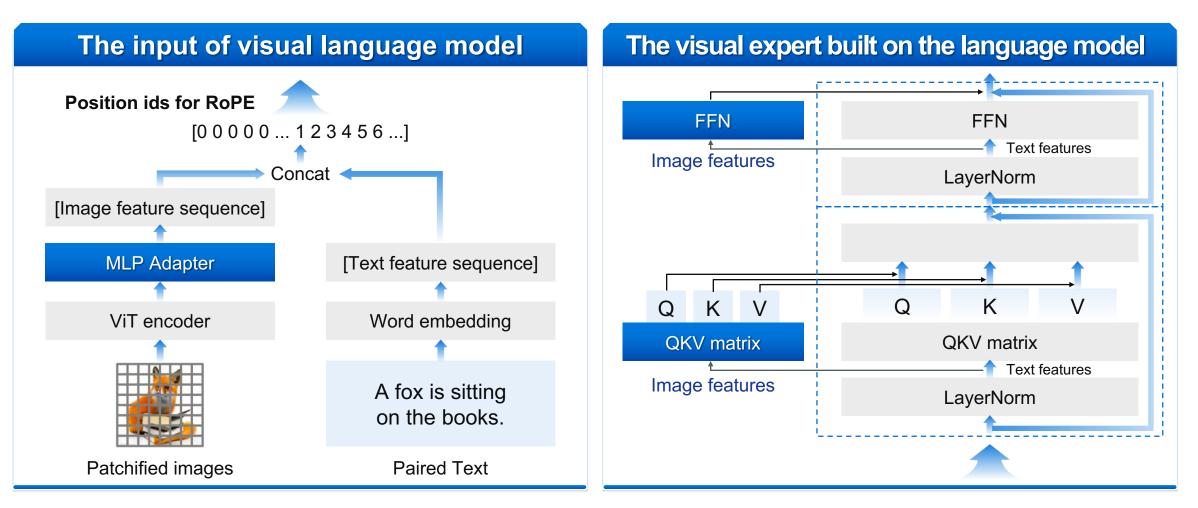


Shallow feature alignment between image and text

These structures tend to fit into text space, while image space may have unique features

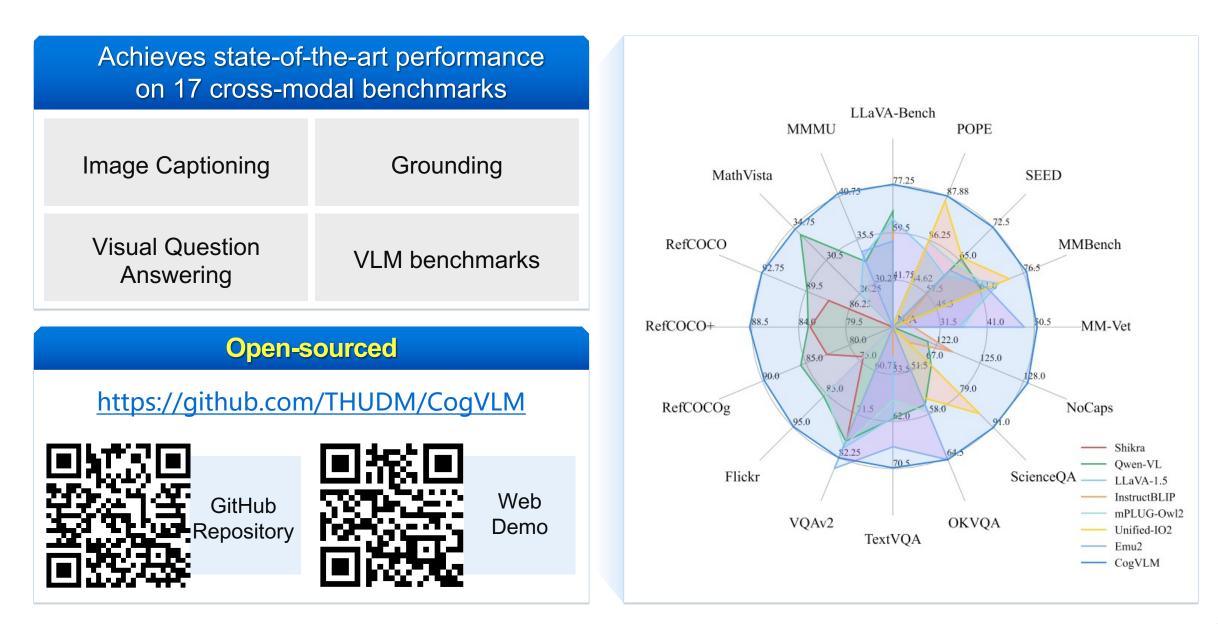
#### CogVLM: Visual Expert for Large Language Models

- > Use a trainable visual expert module to bridge the gap of LLM and visual encoder
- Enable deep feature alignment between image and text



[1] Wang et al. Cogvlm: Visual expert for pretrained language models. arxiv:2311:03079

#### CogVLM: Visual Expert for Large Language Models



### GLM-4V with CogVLM

#### World Knowledge

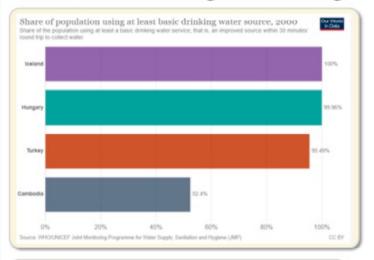


**Q:** How many goals did the person in the picture score in the 2018 World Cup?

**CogVLM:** The person in the picture is Cristiano Ronaldo. He is the captain of the Portuguese team and has participated in the 2018 World Cup. In the 2018 World Cup, Cristiano Ronaldo scored 4 goals.

#### **Chart Understanding & Reasoning**

#### **Chart Understanding & Reasoning**



**Q:** What is the difference of largest and smallest bar? Answer it step by step.

**CogVLM:** The largest bar represents Iceland with a percentage of 100%. The smallest bar represents Cambodia with a percentage of 52.4%. To find the difference, subtract the smallest percentage from the largest: 100% - 52.4% = 47.6%.

# Ready for a tough task?

#### Q: Can you explain this meme in detail?



A: Certainly! This meme is a humorous take on how one's handwriting can change throughout the process of writing an exam. Let's break down each part:

#### 1. Title - "My Handwriting In Exams"

2. **First two pages**: The image here shows neat and legible handwriting that reads, "Global warming is definitely a very huge issue in front of this entire world. It's causing several disturbances in the..." This suggests that at the beginning of the exam, when concentration levels are high, the handwriting is clear and well-maintained.

3. **Middle pages**: The middle image displays handwriting that is less organized and more rushed, with the text reading, "...which cause the meltdown of glaciers and multiply the ocean waves...". This indicates that as time passes and fatigue sets in, the handwriting becomes less precise.

4. **Last two pages**: The final image humorously depicts a heart rate monitor graph, implying that by the last few pages of the exam, the writer's hand is so exhausted and possibly shaking that it resembles a heart rate line, suggesting complete loss of control over the handwriting.

Overall, the meme plays on the relatable experience of students who start their exams with neatness but may falter towards the end due to stress, fatigue, or other factors, resulting in a decline in handwriting quality.

# by GLM-4V

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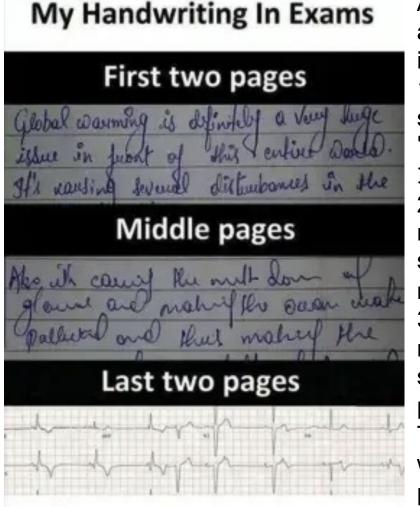
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# by GPT-4V

#### Q: Can you explain this meme in detail?



A: This meme humorously highlights the progressively deteriorating quality of a student's handwriting during an exam, using three different images to illustrate this progression:

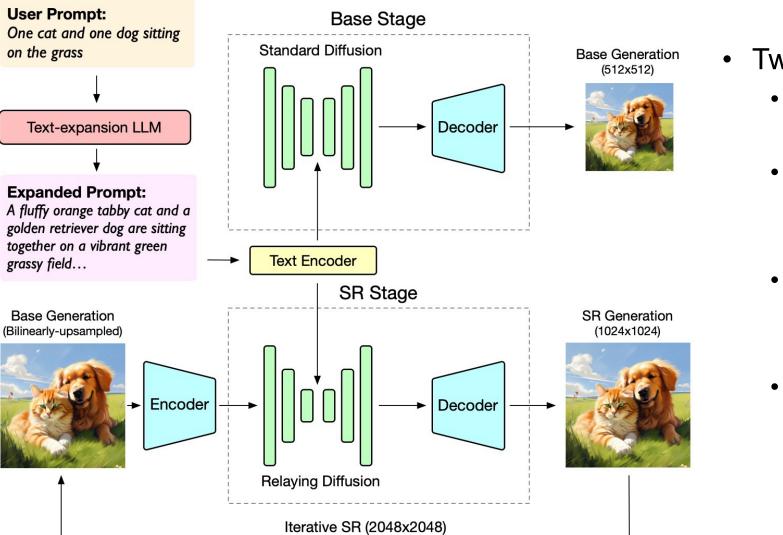
1. **First two pages**: The first image shows neat and legible handwriting. The student is making a clear effort to present their work neatly, with the sentence "Global warming is definitely a very huge issue in front of this entire world. It's causing several disturbances in the..." written clearly.

2. **Middle pages**: The second image shows messier handwriting, which is still mostly legible but noticeably sloppier compared to the first image. The sentence "Also, in class the math down..." becomes increasingly difficult to read.

3. Last two pages: The third image is of an electrocardiogram (ECG) printout, mimicking very chaotic and illegible handwriting. The ECG printout humorously suggests that by the end of the exam, the student's handwriting has become so poor that it looks like a medical chart instead of text.

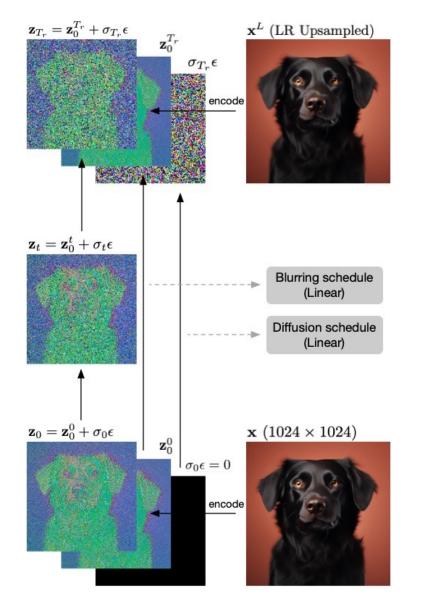
The meme reflects a relatable experience for many students who start an exam with neat handwriting but as time progresses, their focus shifts to finishing the paper rather than maintaining neatness. The ECG comparison at the end exaggerates this degradation for comedic effect.

# CogView3: text-to-image generation



- Two-stage Text-to-Image Generation
  - Progressive Training
    - Sharing a same 3B U-Net structure
  - First Stage
    - 512x512 generation
    - Standard diffusion
  - Second Stage
    - 512-to-1024 super-resolution
    - Relay diffusion
  - Extended Stage
    - 2048x2048 or higher
    - Iterative relaying super-resolution

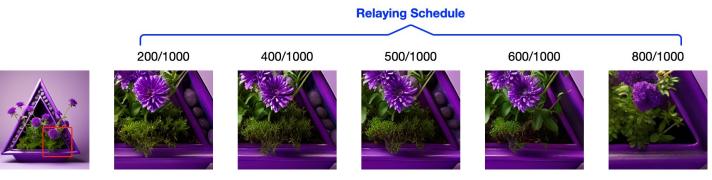
# CogView3: text-to-image generation



- Relaying Super-resolution (Relay Diffusion)
  - Combining diffusion with blurring forward process
    - Simplified (linear) blurring schedule

 $z_0^t = \mathcal{F}(z_0, t) = \frac{T_r - t}{T_r} z_0 + \frac{t}{T_r} z^L, \ q(z_t | z_0) = \mathcal{N}(z_t | z_0^t, \sigma_t^2 \mathbf{I}), \ t \in \{1, ..., T_r\}.$ 

- Starting diffusion from a middle point of the schedule
  - Early starting points: incomplete de-blurring results
  - Late starting points: over adjustments and artifacts
  - A midway starting point is the best.



[1] Zheng et al. CogView3: Finer and Faster Text-to-Image Generation via Relay Diffusion. arXiv:2403.05121,

# CogView3: text-to-image generation

- 77% win over SDXL, 2x speed up (no-distilled version)
  - text-image aligning improvement (via re-caption)
  - generation quality improvement (via high-quality data fine-tuning)
  - inference cost reduction (via relaying staging and distillation)



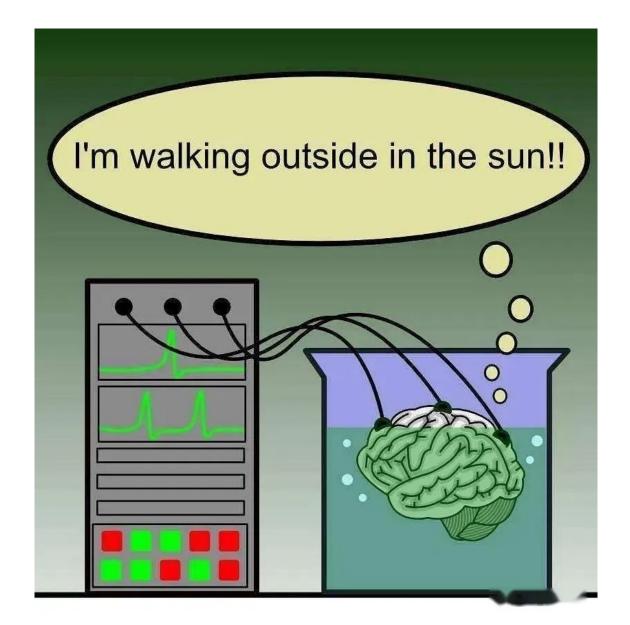
Model	Steps	Time Cost	DrawBench			PartiPrompts			
			Aes↑	HPS v2 $\uparrow$	$ImageReward \uparrow$	Aes↑	HPS v2 $\uparrow$	$ImageReward\uparrow$	
SDXL 17	50	$19.67 \mathrm{s}$	5.54	0.288	0.676	5.78	0.287	0.915	
StableCascade 16	20 + 10	$10.83 \mathrm{s}$	5.88	0.285	0.677	5.93	0.285	1.029	
CogView3	50 + 10	10.33s	5.97	0.290	0.847	6.15	0.290	1.025	
LCM-SDXL 14	4	2.06s	5.45	0.279	0.394	<b>5.5</b> 9	0.280	0.689	
$\mathbf{CogView3-distill}$	4 + 1	1.47s	5.87	0.288	0.731	6.12	0.287	0.968	
CogView3-distill	8+2	1.96s	5.90	0.285	0.655	<u>6.13</u>	<u>0.288</u>	0.963	



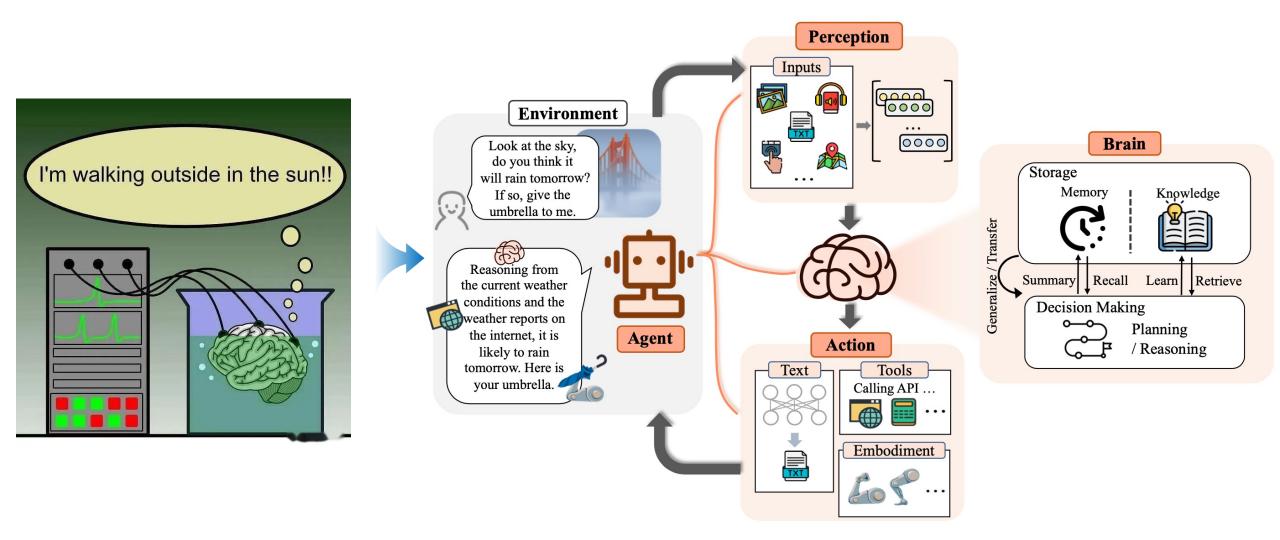
# GLM-4V (All Tools)

-learn to use tools

# LLM as a Brain

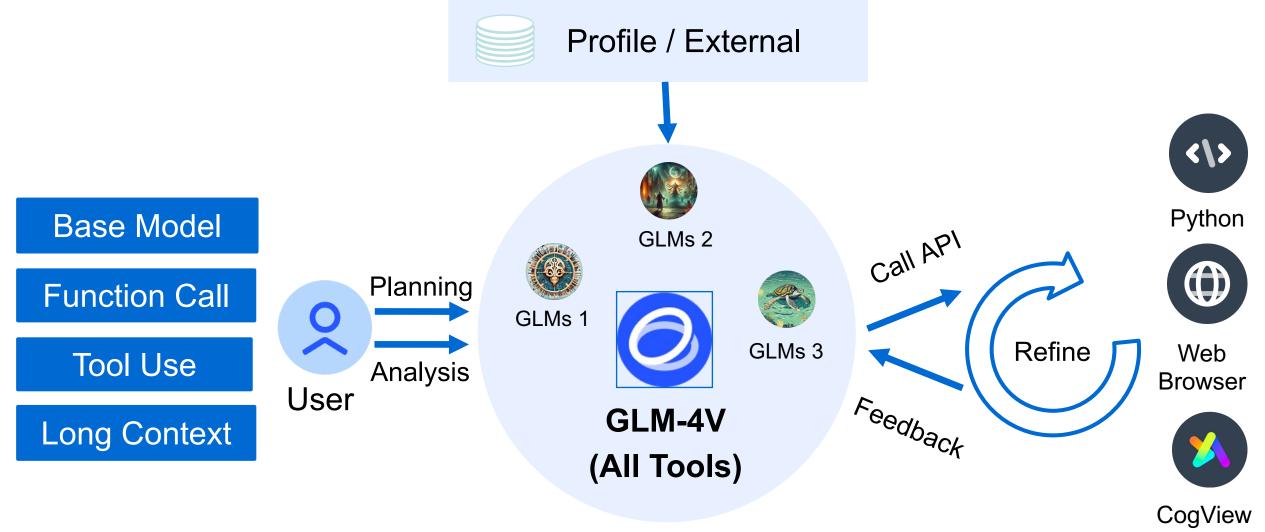


# LLM as a Brain

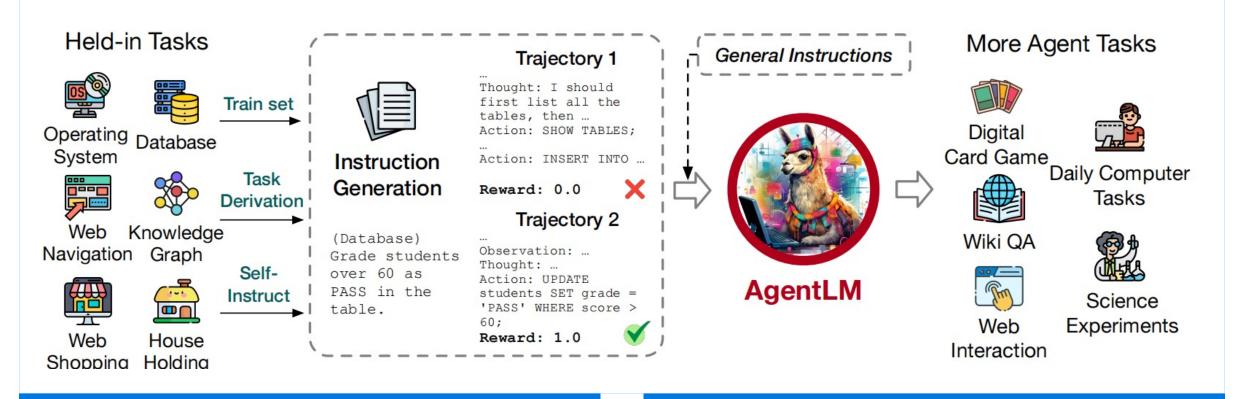


# GLM-4V (All Tools)

All-tools: for smart instruction understanding and automatic action planning



## **AgentTuning:** Enabling Generalized Agent Abilities For LLMs



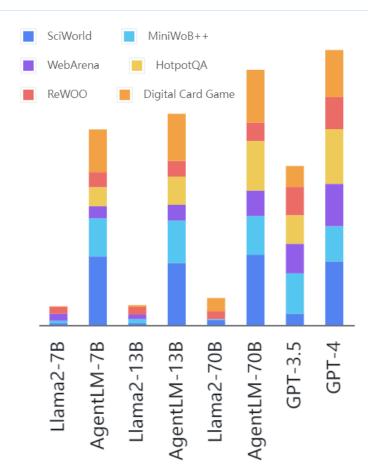
Six agentInstruct trajectory datasets

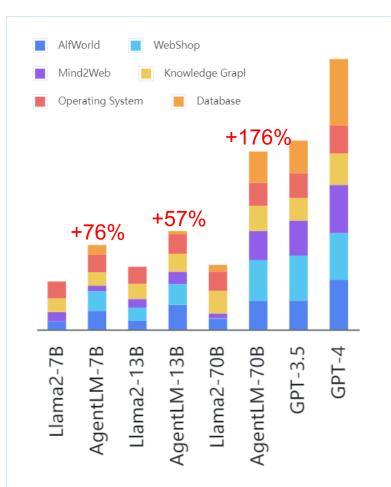
~2K high-quality CoTs

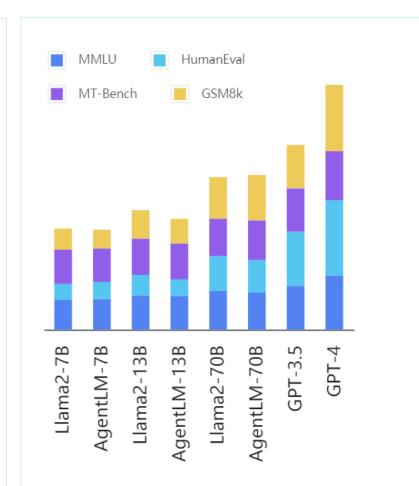
#### **Agent Tuning Mix-training**

20% AgentInstruct + 80% ShareGPT

## Results







**In-domain dist** Significant improvement Out-domain dist Good generalization

#### **Better generalization**

# GLM-4V (All Tools)

#### **Code Interpreter**

generate and execute Python code for challenging computation

#### **Web Browsing**

search the Web with generated query terms, select & click & browse web pages, generate accurate responses

#### **Image Generation**

generate accurate and high-quality images with our CogView models

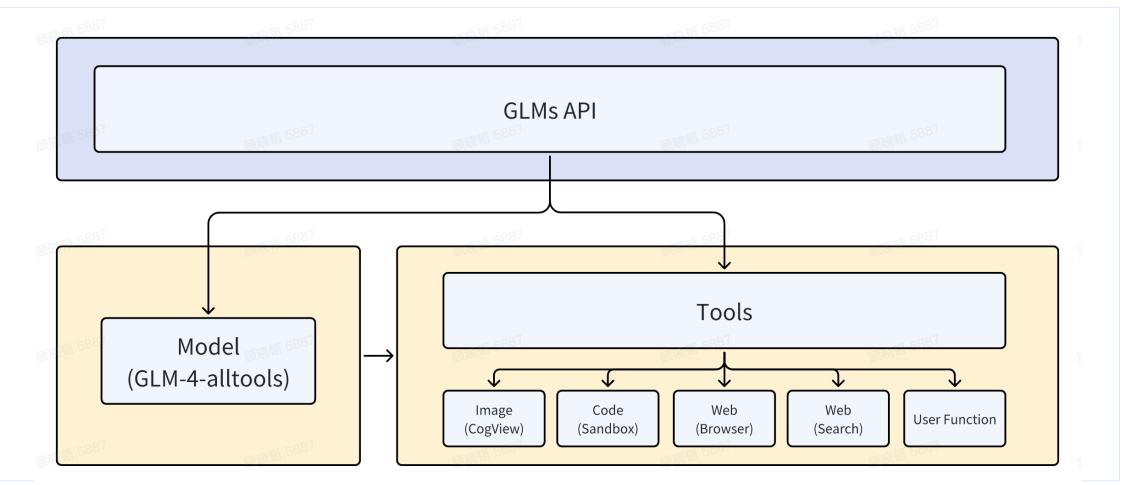
#### **Function Call**

select proper user function, generate accurate arguments, and respond according to returned function results

	Web Search	Functi	on Call	Code Interpreter		
	Acc.	Chinese API	English API	GSM8K (5-shot)	MATH (4-shot)	Math23K
GPT-4-alltools	67.1	89.7	87.0	92.7	65.0	88.4
GLM-4-alltools	78.1	92.0	85.4	91.6	63.6	88.5
<b>GLM-4 / GPT-4</b>	116.4%	102.6%	98.2%	98.8%	97.8%	100.1% <sub>47</sub>

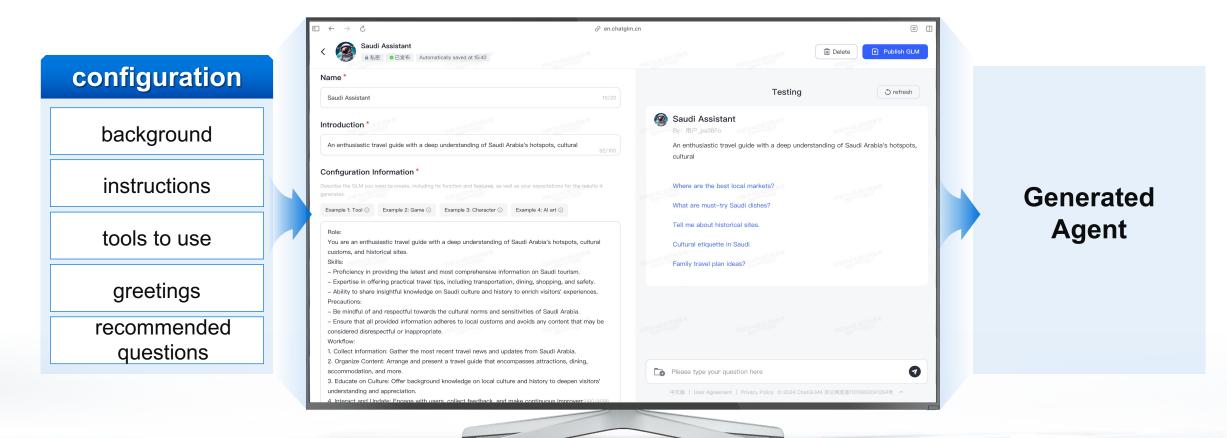
## GLMs APIs: talk2your-own-agent

We are releasing GLMs API to allow more convenient and scalable creation, customization, usage, and sharing of your own GLMs, with all tools supported (Web, image, code, function).



# GLMs: customize your AI agent with a few words

Quickly setup an agent with the capability of web search, code interpreter, image generation and function call, powered by GLM-4-alltools



# GLMs: tell a story by generating a picture book

#### Please create a story about an astronaut encountering an alien bunny.

Story Title: "The Astronaut and the Alien Bunny"

**Page 1: Story Line:** Once upon a time, in the vastness of space, an astronaut named Alex embarked on a journey to a distant planet.

**Illustration Description:** A cartoon-style illustration of an astronaut, Alex, in a colorful space suit, standing in front of a spaceship, with a backdrop of a starry sky and a distant, mysterious planet.

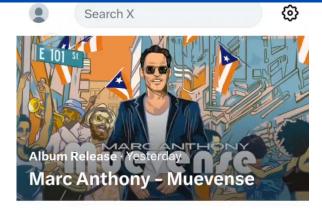
**Page 2: Story Line:** As Alex explored the planet, he stumbled upon a strange, hopping creature with long ears and a fluffy tail.

**Illustration Description:** A cartoon-style illustration depicting Alex's surprised expression as he discovers a cute, friendly alien bunny. The bunny is fluffy, with large, expressive eyes and long, floppy ears. The setting is a colorful alien landscape with unusual plants and rocks.

Now, let's create the second illustration based on this description.



#### Post a poll about which one to buy: lipstick or



#### Trends for you

Trending in Hong Kong SAR China 2 Beijing 18K posts **Trending in Hong Kong SAR China** #TikTok 140K posts **Trending in Hong Kong SAR China** Xinjiang 6,832 posts **Trending in Hong Kong SAR China** Solana 317K posts



#### Where can I get a sandwich

Friday, Apr 26



#### Do you want to give it a try?

#### 10,000,000 free tokens!



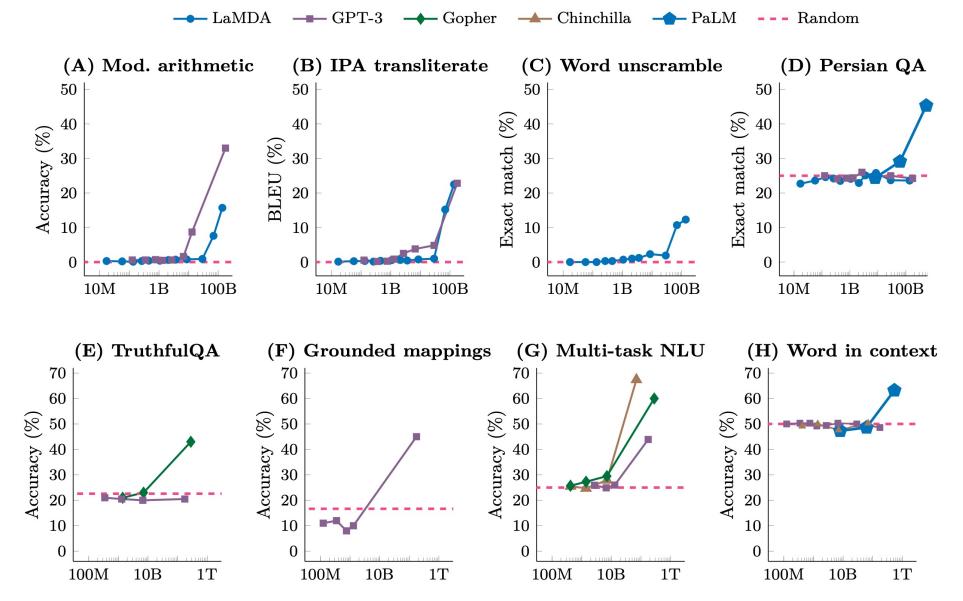
#### https://open.bigmodel.cn/



# Why LLMs Work?

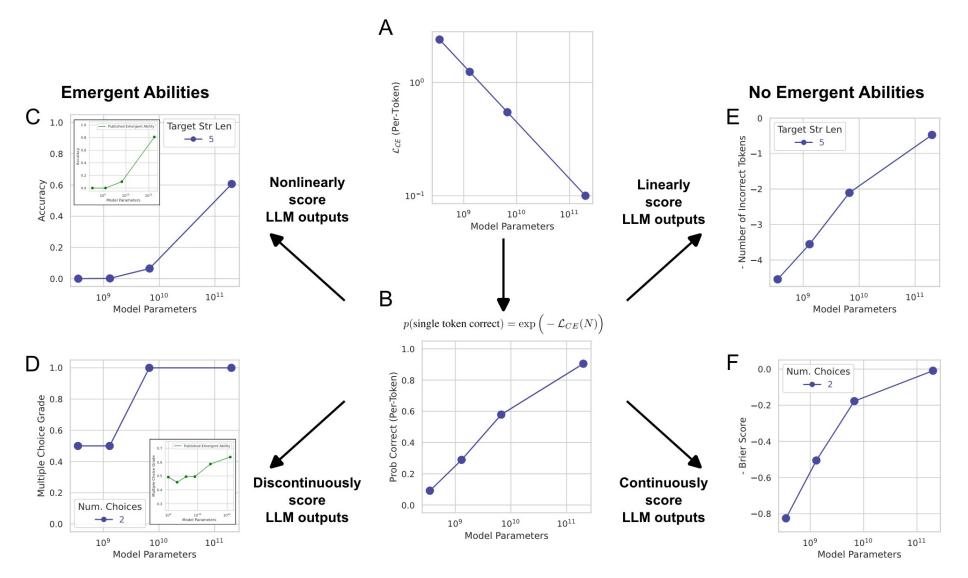
—Emergent Abilities

#### Emergent Abilities (Wei, et al., 2022)



[1] J Wei, et al. Emergent Abilities of Large Language Models. arXiv: 2206.07682

# Are Emergent Abilities of Large Language Models a Mirage? (Schaeffer, et al., NeurIPS'23 Best Paper)

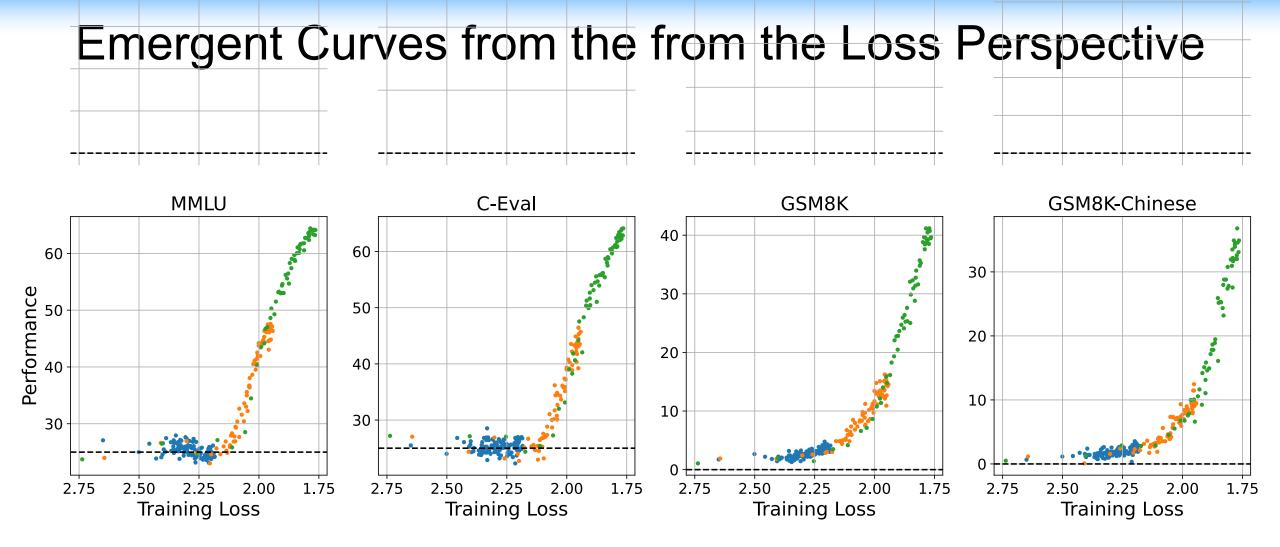


[1] Rylan Schaeffer, Brando Miranda, Sanmi Koyejo. Are Emergent Abilities of Large Language Models a Mirage? NeurIPS'23.

# Questions?

- Before, people believe that emergent abilities are exclusive to LLMs
- But now
  - smaller models can also exhibit high performance on emergent abilities
  - continuous metrics "seems" no emergent abilities

### **Do you believe LLMs having "emergent ability"?**



On MMLU, C-Eval, GSM8K, and GSM8K-Chinese, all models of three sizes perform at the random level until the pre-training loss decreases to about 2.2, after which the performance gradually climbs as the loss increases.

[1] Zhengxiao Du, Aohan Zeng, Yuxiao Dong, Jie Tang. Understanding Emergent Abilities of Language Models from the Loss Perspective. https://arxiv.org/pdf/2403.15796 56

# Defining Emergent Abilities from the Loss Perspective

The normalized performance on an emergent ability as a function of the pre-training loss L is:

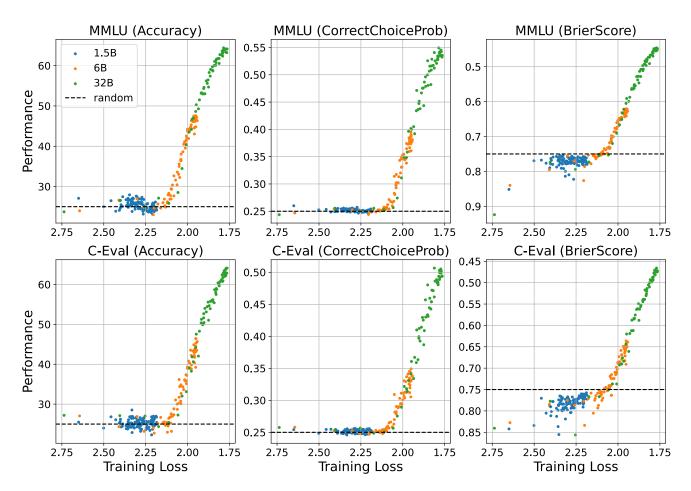
$$\begin{cases} f(L) & \text{if } L < \eta \\ 0 & \text{otherwise} \end{cases}$$

Combined with the model scaling law, we can get the normalized performance as a function of the model size *N* 

$$\begin{cases} f\left(L_{\infty} + \left(\frac{N_0}{N}\right)^{\alpha_N}\right) & \text{if } N \ge N_0(\eta - L_{\infty})^{-\frac{1}{\alpha^N}} \\ 0 & \text{otherwise} \end{cases}$$

From this equation, we can explain the observed emergent abilities with model sizes.

# **Influence of Different Metrics**



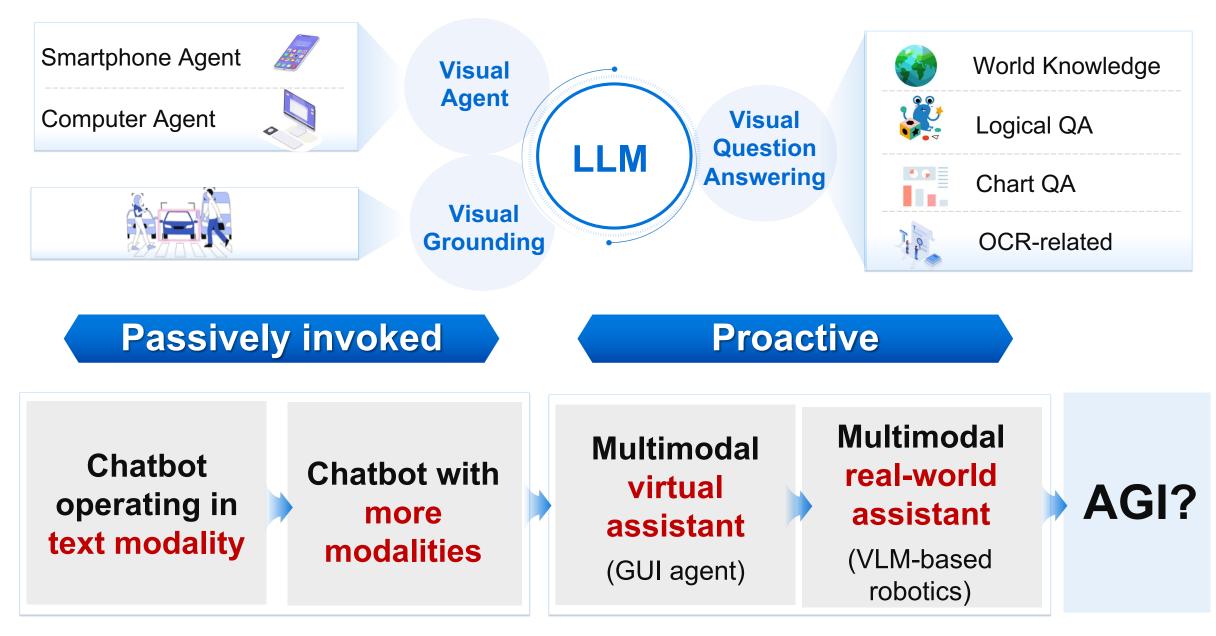
Both discontinuous and continuous metrics (accuracy, correct choice probability, and Brier Score) — show emergent performance improvements (value increase for the first two and decrease for the third) when the pre-training loss drops below a certain threshold.



# GLM-5 and Beyond

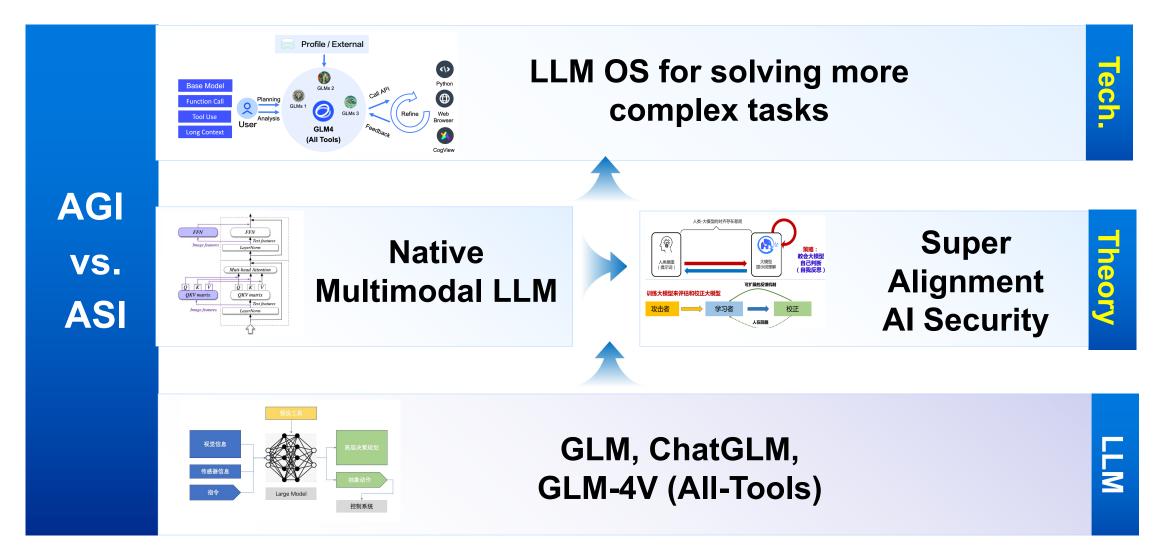
—Toward AGI

## From LLM to AGI



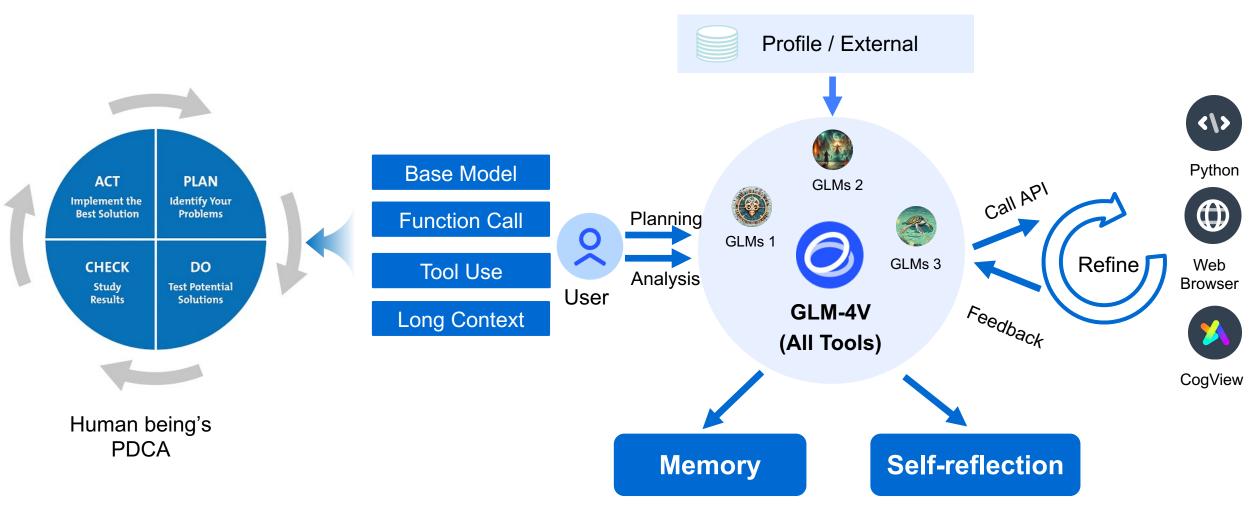
## GLM-4.5 and Beyond

#### SuperIntelligence and SuperAlignment

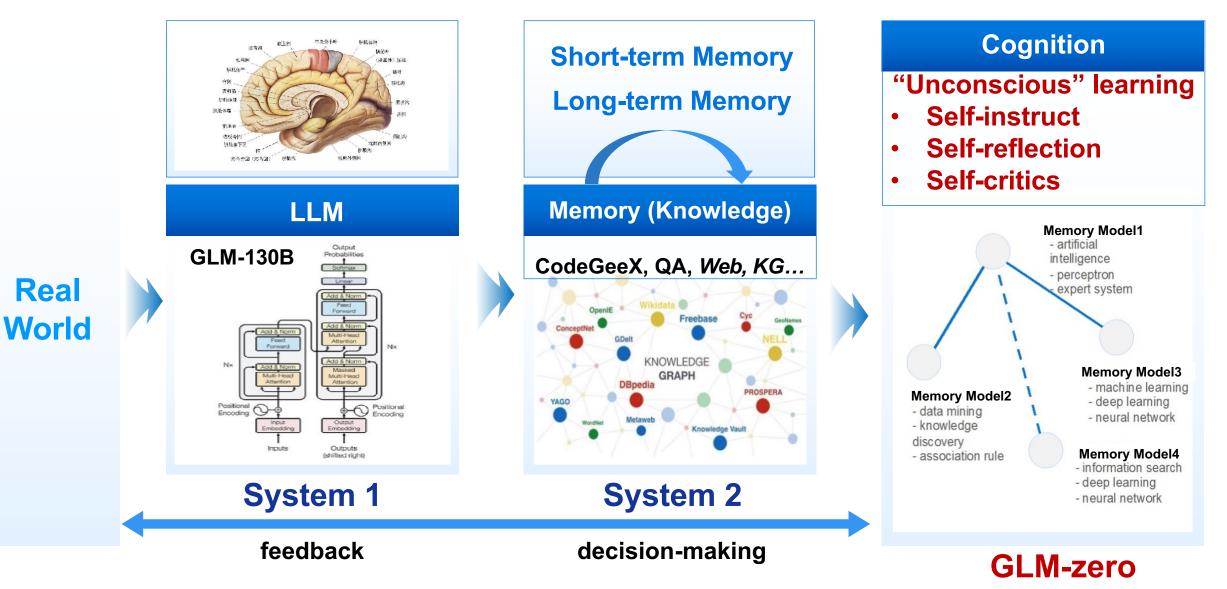


### **GLM-OS**

#### **GLM OS: LLM-centric General Computing System**



## GLM-zero (Our Plan since 2019)



# Summary

#### **GPT vs GLM**

ChatGPT vs. ChatGLM

DALL.E vs. CogView

Codex vs. CodeGeeX

WebGPT vs. WebGLM

GPT-4V vs. GLM-4V

GPTs vs. GLMs (All Tools)

# GLM-4.5 GLM-OS GLM-zero

2024-toward AGI

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# Thank you!

#### Many many collaborators from Tsinghua and Zhipu Al!

#### Welcome to join us!

We are hiring at all LLM roles / levels! talent@zhipuai.cn